

% R D U G R I 5 H J H Q W V 0

9DUQHU +DOO +ROGUHJH 6WUHHW /LQFROG  
DQG E\ 9LUWXDO &RQIHUHQFLQJ LQ DFFRUGDQFH  
7KXUVGD\ 2FWREHU  
D P

%RDUG RI 5HJHQWV 0HHWLQJ

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&RQVHQW \$JHQGD GRF[

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.912 \$XGLW &RQUDFW SGI

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2FWREHU

0U &KULV .DERXUHN  
9LFH 3UHVLGHQW IRU %XVLQHVV )LQDQFH DQG &)2  
8QLYHUVLW\ RI 1HEUDVND  
+ROGUHJH 6WUHHW  
/LQFROQ 1HEUDVND

:H DSSUHFLDWH \R FORVIS, LLP FDLR QXRI VHUYLFH GSDURYLSDHU DVHG WR FRQ  
DUUDQJH PHQWV RI RXU HQJDJH ZHHQW) 259,8 KLAB FROXWU DQV E MW' DQG W  
5HJHQWV RI WKH 8QLYHUVLW\ RI 1HEUDVND D SXEOLF ERG\

Engagement Fees

7KH IHH IRU RXU VHUYLFHV ZLOO EIU IRU VHZKYLFFHV LQ BGR GRHWKIHU SURIH  
DV ZHOO DV DQ DGPLQLVWUDWLRK HF RYHUR IF HLUWDLQ SWHUFKORVORJ\ DQG D  
DVVRFLDWHG ZLWK RXU VHUYLFHV \$Q\ DGGLWLRQDO LQFLGHQWDO RU  
ZULWLQJ E\ WKH 8QLYHUVLW\ 5\$, 6RZLQJLWKEIPWW DGGHFLXFWHJ 2UHFHLSWV  
DV UHTXHVWHG E\ WKH 8QLYHUVLW\ WR VXSSRUW UHLPEXUVHPHQW RI D  
LV H[SHFWHG WR FRPSO\ ZLWK DORFESSOHFDLQHF SROLFLHFKWQRW OLF  
ZLWKLQ WKH 8QLYHUVLW\ RI 1HEUDVND 7UDYHO 3ROLF\

2XU SULFLQJ IRU WKLV HQJDJHPPHQW DQG RXU IHH VWUXFWXUH DUH ED  
EH SDLG SURPSWO\ 3D\PHQW RI RXU LQYRLFHV LV GXH IRUW\ ILYH  
E\ \RX LQ DFFRUGDQFH ZLWK WRSW6WDVPHQRW SHFUD:VNZL00 LVVXH SURJU  
WKH FRXUVH RI RXU HQJDJHPPHQW

2XU WLPHO\ FRPSOHWLRQ RI KHUHYRQHGHSDGGWKRIQIWKH WDVVLVWDQFH  
DFFXPXODWLQJ LQIRUPDWLRQ DQG UHVSROGLQJ WR RXU LQTXLULHV  
LQIRUPDWLRQ RU WKH UHVSROVH VORLQUVHVXQWLPHDGLGLOWRQDRU LQ  
GHDGOLQHV

Assistance with New Standards

\$VVLVWDQFH DQG DGGLWLRQDO WLPH DV D UHVXOW RI WKH DGRSWLRQ  
ZLWKLQ RXU VWDQGDUG HQJDJHPPHQW IHHV 7KHVH IHHV ZLOO EH EDVH  
WKH OHYHO RDOGSLRWFHGHXUHV UHTXLUHG

Governmental Accounting Standards Board Statement No. 96, Subscription-Based Information  
Technology Arrangements LV HIIHFWLYH IRU ILVFDO \HDUV EHJLQQLQJ DIWHU ->  
HQFRXUDJHG

6WDWHPHQW 1R DGGUHVHVH WKH DFDXQGLWJ IRORWGHFRRSXWLQJ  
WKLV 6WDWHPHQW D JRYHUQPHQW UHSRUWV D VXEVFULSWLRQ DVVHW  
WKH GHILQLWLRQ RI D VXEVFULSWLRQ EDVHG JHQIRQWDW%RT\$ WDFE WR G  
LQIRUPDWLRQ DERXW WKH DUUDZLWRHWHW DGRSDWRQVEV SURXLGLQJ V  
LQFOXGH EXW DUH QRW OLPLWHG WR

- x \$VVHVVLQJ \RXU UHGLQJZLWELWV LHYDOXDWLRQ RI \RXU
  - o &XUUHQW FRQWUROV DQG SROLFLHV
  - o &XUUHQW LQWHUQDO UHVRXUFHV DQG V\WHP FDSDELOLWLH
- x \$VVLVWLQJ ZLWK FKDGRSWUHTXWHPHQWR 1R LQFOXGLQJ







8QLYHUVLW\ 2I 1HEUDV  
2FWREHU  
3DJH

FRQVLGHUHG PDWHULDO LIDWHU €Đ0



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2FWREHU  
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OLPLWHG SURFHGXUHV ZLOO FRQVLVW RI LQTXLULHV RI F  
RI SUHSDULQJ WKH LQIRUPDWLRQ DQG FRPSDULQJ WKH F  
PDQDJHPHQW UHVSQRVHV WR RXU LQTXLULHV WKH E  
RWKHU NQRZOHGJH ZH REWDLQHGXULQJ RXU DXGLW RI

:H ZLOO QRW H[SUHVV DQ RSLQLRQ RU SURYLGH DQ\ D  
EHFDXVH WKH OLPLWHG SURFHGXUHV GR QRW SURYLGH  
H[SUHVV DQ SURYLGH DQ\ DVVXUDQFH

Written  
Confirmations  
Required

\$V SDUW RI RXU DXGLW SURFHVV ZH QDQJPHQW DQG LI  
WKRWH FKDUJHG ZLWKHQYRQDQJPHQW ZRQDQFNQRZOHGJL  
UHVSQRVLELOLWLHFRQWODQWGDQGWRQILUPLQJ

x 7KH DYDLODELOLW\ RI WKLW LQIRUPDWLRQ  
x &HUWDLQ UHSUHVHQWDWLRQV PDGH GXULQJ WKH DXG  
x 7KH HIIHFWV RI DQ\ XQFRVUHFWDQJ RHWXODWLRQV H  
IUDXG DJJUHJDWHG E\ XV GXULQJ WKH FXUUHQW HQJD  
ODWHVW SHULRG SUHVERWKLHGDJHLEODWODQGLQWK  
WR WKH ILQDQFLDO VWDWHPHQWV WDNHQ DV D ZKROH

8QLYHUVLW\ 2I 1HEUDV  
2FWREHU  
3DJH

Scope of Service – Attestation Examination Services

:H ZLOO H[DPLQH WKH ZULWV&RQ SRUVDWMLRQRUDEERXOMFW%URDGFDFVWLQ.  
)LQDQFLDO 5HSRUW \$)5 6FKH)G@OQFLIRQ @RSSRUGHFDGH E\ WKH PDQDJ  
)0 D SXEOLF WHOHFRPPXQLFDWLRQV HQWLW\ RSHUDWHG E\ WKH 8QLYH  
\HDU HQGHG -XQH

7KH REMHFWLYH RI RYKHHD\$U@DWLRQR RIVDQ RSLQLRQ LQ D ZULWWHQ UH  
PDWWHU LV LQ DFFRUGDQFH ZLWK RU EDVHG RQ WKH FULWHULD LQ D

7KH FULWHULD WR EH XVH@FRQVWVW R)L@B@FV)QV)HSRUWLQJ \*XLGHOL

\$P\ 6KUHFN LV UHVSQRVLEOH IRUPHQSDJ@VLD@WVWKHL]HQJDWKH VLIJLQ  
UHSRUWV

2XU UH R ADLR  
~~XXSTAGRDX\@AG4E DP 3T 0 F•p E f5@~~

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NQRZQ HYHQWV VXEVHTXHQW WR WKH SHULRG RU SRLQ  
EHLQJ UHSRUWHG RQ WKDW DZOR XIOIG FWD YHQ DW R B W X E M H F V  
D V V H U W L R Q

0DQDJHPPHQW LV UHVSQRVLEOH IRU HVWDEOLVKLQJ DQG  
FRQWURO RYHU ILQDQFLDO UHSRUWLQJ DQG VHWLQJ  
PDLQWDLQLQJ D FXOWXUH HW KLFOH V W W D Q G D K G V D Q G H  
DSSURSULDWH FRQWUROV WR SUHYHQW GHWHU DQG  
0DQDJHPPHQW LV DOVR UHVSQRVLEOH IRU LGHQWLI\LQJ D  
ODZV DQG UHJXODWLRQV DSSOLFDEOH WR \RXU DFWLY  
PDLQWDLQLQJ Q L Q W H B W D O FRQWURO RYHU FRPSOLDQFH

Written  
Representations

FORVIS, LLP Terms and Conditions Addendum

GENERAL

Overview. 7KLV DGGHQGX FORVIS/LLP EHW DQG DUG  
WHUPV DQG FRQGLWLRQV 37HUPV DQG &RQGLWLRQV' DSSOLFDEOH WR  
2XU SURYLVLRLQ WIKMH&UJLFH W WRRX' 7KH 7HUPV DQG  
&RQGLWLRQV DUH D SDUW RI WKH FRQWUDFW EHWZHHQ <RX DQG )259,6  
//3 )RU WKH SXUSRVHV RI WKH 7HUPV DQG &RQGLWLRQV DQ\  
UHIHUHQFH WR 3)LUP ' 3:H ' 38V ' RU 32XU' LV D UHIHUHQFH WR  
)259,6 //3 3)259,6' DQG DQ UHIHUHQFH WR 3<RX' 3<RXU'  
RU 38QLYHUVLW\ ' LWIDHUSDHWHQFH SDRJWLHV WKDW KDYH  
HQJDJHG 8V WR SURYLGH VHUJLFHV DQG WKH SDUW\ RU SDUWLHV  
XOWLPDWHO\ UHVSQRVLEOH IRU SD\PHQW RI 2XU IHHV DQG FRVWV

BILLING, PAYMENT, & TERMINATION

Billing and Payment Terms. :H ZLOO ELOO <RX IRU 2XU  
SURIHVVLRQDO IHHVODQG FRQWUDFW FRQWUDFW 8QOHVV  
RWKHUZLVH SURYLGHG LQ 2XU FRQWUDFW SD\PHQW LV GXH XSRQ  
UHFHLSW RI 2XU ELOOLQJ VWDWHPHQW ,QWHUHVW ZLOO EH FKDUJHG RQ DQ\  
XQSDLG EDODQFH DIWHU GDT`HÀ WKH RI WH\FHQW <PH%Pa  
OUHVW0@ ,H0G



UHFRPPHQGLQJ DQ\ DFWLRQ WR <RX DQG GRHV QRW RZH <RX D  
ILGXFLDU\ GXW\ ZLWK UHVSHFV WR DQ\ LQIRUPDWLRQ RU  
FRPPXQLFDWLRQV UHJDUGLQJ PXQLFLSDO ILQDQFLDO SURGXFWV RU WKH  
LVVXDQFH RI PXQLFLSDO RYHFXULXOG GLVFXVV VXFK  
PDWWHUV ZLWK LQWHUQDO RU H[WHUQDO DGYLVRUV DQG H[SHUWV <RX  
GHPH DSSURSULDWH EHIRUH DFWLQJ RQ DQ\ VXFK LQIRUPDWLRQ RU  
PDWHULDO SURYLGHG E\ )259,6

FORVIS Not a Fiduciary. ,Q SURYLGLQJ 2XU DWWHVW VHUFLFHV :H  
DUH UHTXLUH G E\ ~~VDZLRQGRXWSODFH~~ UGV WR PDLQWDLQ  
RXU LQGSHHQGHQFH IURP <RX :H WDNH WKLW PDQGDWH YHU\  
VHULRXVO\ DQG WKXV JXDUG DJDLQVW LPSHUPLVVLEOH UHODWLRQVKLSV  
ZKLFK PD\ LPSDLU WKH YHU\ LQGSHHQGHQFH ZKLFK <RX DQG WKH  
XVHUV RI 2XU UHSRUW UHTXLUH \$V VXFK <RX VKRXOG QRW SODFH XSRQ  
8V VSHFLDO FRQILGHQFH WKDW LQ WKH SHUIRUPDQFH RI 2XU DWWHVW  
VHUFLFHV :H ZLOO DFW VROHO\ LQ <RXU LQWHUHVW 7KHUHIRUH <RX  
DFNQRZOHGJH DQG DJUHH :H DUH QRW LQ D ILGXFLDU\ UHODWLRQVKLS  
ZLWK <RX DQG :H KDYH QR ILGXFLDU\ UHVSQVLELOLWLV WR <RX LQ WKH  
SHUIRUPDQFH RI 2XU VHUFLFHV GHVFULEHG KHUHLQ

### TECHNOLOGY

Electronic Sites. <RX DJUHH WR QRWLI\ 8V LI <RX GHVLUH WR SODFH  
2XU UHSRUW V LQFOXGLQJ DQ\ UHSRUWV RQ <RXU ILQDQFLDO  
VWDWHPHQWV DORQJ ZLWK RWKHU LQIRUPDWLRQ VXFK DV D UHSRUW E\  
PDQDJHPHQW RU ~~ZLWK RWKHU RYHFXULXOG~~ RQ RSHUDWLRQV  
ILQDQFLDO VXPPDULHV RU KLJKOLJKWV ILQDQFLDO UDWLRV HWF RQ DQ  
HOHFWURQLF VLWH <RX UHFRJQL]H WKDW :H KDYH QR UHVSQVLELOLWLV WR  
UHYLHZ LQIRUPDWLRQ FRQWDLQH G LQ HOHFWURQLF VLWHV

Electronic Signatures and Counterparts. 7KLV FRQWUDFW DQG  
RWKHU GRFXPHQWV ~~WR EH QWVRYW KHG FRS~~ QWUDFW PD\  
EH H[HFHWHG LQ RQH RU PRUH FRQWUDFWV ZKLFK ZLOO EH  
GHPHG WR EH DQ RULJLQDO FRS\ DQG DOO RI ZKLFK ZKHQ WDNHQ  
WRJHWKHU ZLOO EH GHPHG WR FRQVWLWXWH RQH DQG WKH VDPH





8QLYHUVLW\ 2I 1H  
2FWREHU  
3DJH

Work Status Verification )259,6 DQG DQ\ RI LWV VXEFRQWUDFWV  
VKDOO XVH D IHGHUDO LPPLJUDWLRQ YHULILFDWLRQ V\ VWHP WR GHWHUPLQH  
WKH ZRUN HOLJLRELOLW\ HPSORXHV SK\VLFDQO\  
SHUIRUPHQJ VHU\LFHV ZLWKLQ WKH 6WDWH RI 1HEUDVND SXUVXDQW WR  
1HE 5HY 6WDW †† WR DVDPHQGHG

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3UHVVLGHQWLDO 6HDUFK

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\$\*(1'\$ ,7(0 \$SSURYDO RI WKH FRPSRVLWLRQ RI WK  
&RPPLWWHH DV SURYLGHG%10 DZDQ 6HFF  
3ROLF\

5HYLHZ  ; 5HYLHZ \$FW  \$FWLRQ  'LVFXVVI

7KLV LV D UHSRUW ~~13R0X~~

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-DFN 6WDUN  
%DUEDUD :HLW]  
.DWK\ :LOPRW

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7KH IROORZLQJ 3UHVVLGHQWLDO 6HDUFK \$GYLVRU\ &RPPLWV  
7LP &ODUH %RDUG RI 5HJHQWV 'LVWULFW /LQFROQ &  
/HDK %DUUHHW 3UHVVLGHQW 1RUWKHDVW &RPPXQLW\ &R  
&KULV ([WURP )DFXOW\ 6HQDWH 3UHVVLGHQW DQG 3URIHV  
1HEUDVND DW .HQUH\  
3DXO .HQQH\ %RDUG RI 5HJHQWV 'LVWULFW \$PKHUVW  
.HOOL .RSRFLV )DFXOW\ 6HQDWH 3UHVVLGHQW DQG \$VVLV  
RI \$UFKLWHFWXUDO (QJLQHULQJ DQG &RQVWUXFWLRQ  
+DNLP /RWRUR 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG  
6EHQFHV ODMRU 8QLYHUVLVW\ RI 1HEUDVND DW 2PDKD  
6FRWW 0F3KHHWHUV \$J 3URGXFHU DQG 9LFH &KDLU 1HE  
:LOOLDP 0HODQVRQ )DFXOW\ 6HQDWH 3UHVVLGHQW DQG \$  
RI 1HEUDVND DW 2PDKD  
+HDWK 0HOOR 3UHVVLGHQW DQG &(2 \*UHDWHU 2PDKD &KD  
-DQH 0LOOHU &KDLU (OHFW 8QLYHUVLVW\ RI 1HEUDVND )F  
7HPR 0ROLQD 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG 3  
\$GPLQVLWUDWLRQ ODMRU 8QLYHUVLVW\ RI 1HEUDVND DV  
\$PDU 1DWDUDMDQ )DFXOW\ 6HQDWH 3UHVVLGHQW DQG 3U  
1HEUDVND 0HGLFDO &HQWHU  
(OLJDEHWK 2↑&RQQRU %RDUG RI 5HJHQWV 'LVWULFW 2  
5RE 2WWH \*HQHUDO &RXQVHO 86 3URSHUW\ ,QF /LQFR  
3DXO 3HFKRXV 6WXGHQW %RG\ 3UHVVLGHQW 5HJHQW DQG  
RI 1HEUDVND /LQFROQ  
5RE 6FKDIHU %RDUG RI 5HJHQWV 'LVWULFW %HDWULF

-LP 6FKHHU %RDUG RI 5HJHQWV 'LVWULFW 1RUIRON  
.DWLH 6FKOXWLV 6WXGHQW %RG\ 3UHVLGHQW 5HJHQW D  
OHGLFDO &HQWHU  
-DFN 6WDUN %RDUG RI 5HJHQWV 'LVWULFW 2PDKD  
-RKQ 6WLQQHU 3UHVLGHQW DQG &(2 5HWLUHG 9DOOH\  
%DUEDUD :HLW] %RDUG RI 5HJHQWV 'LVWULFW 2PDKD  
.DWK\ :LOPRW %RDUG RI 5HJHQWV 'LVWULFW %HDYHU

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6HFWLRQ RI WKH %\ODZ SURYLGHV %RDUG RI 5HJHQWV D Y  
WKH SRVLWLRQ RI 3UHVLGHQW« WKH %RDUG VKDOO EH WK  
DSSRLQW RQH RU PRUH SUHVLGHQWLDO VHDUFK DGYLVRU\  
IRU VXLWDEOH FDQGLGDWHV WR ILOO WKH SRVLWLRQ DQG  
VHDUFK FRPPLWWHH V WR VHUYH DV LWV FKDLU 7KH SUHV  
EURDGO\ ZLWK WKH SULQLSFDO FRQVLWWXHQFLHV ZLWK ZK  
UHVSRQVLELOLWLHV RI DQG SUHSDUH WKH TXDOLILFLDWLR  
FRQVLVWHQW ZLWK WKH %\ODZ SURYLGHV FRPSVLWL  
VHDUFK FRPPLWWHH VKDOO EH GHWHUPLQH E\ WKH %RDU

5HJHQWV\ WKH %\ODZ SURYLGHV UHVRQDEOH HIIRUW VKDOO EH  
PHPEHUVKLS RI WKH SUHVLGHQWLDO DSSURVLQW DOWLYHRI WKH  
WKH 8QLYHUVLV\ 7KH %RDUG VKDOO FRQVLGHU WKH IR  
PRUH SUHVLGHQWLDO VHDUFK DGYLVRU\ FRPPLWWHHV D XQ  
VWXGHQWV G 8QLYHUVLV\ RI 1HEUDVND )RXQGDLRQ DQ  
GHWHUPLQH WKH UHVSRQVLELOLWLHV IRU WKH SUHVLGHQW

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5HJHQWV 6FKHHU 6WDUN :HLW] DQG :LOPRW UHFRPPHQG  
PHPEHUVKLS RI WKH 3UHVLGHQWLDO 6HDFK \$GYLVRU\ &RP

2FWREHU  
9ROXPH

2PDKD 1HEUDVN  
2FWREHU

7KH %RDUG RI 5HJHQWV RI WKH 8QLYHUVLW\ RI 1HEUDVND  
LQ WKH :LJWRQ +HULWDJH &HQWHU DW WKH 8QLYHUVLW\ RI  
2PDKD 1HEUDVND LQ D SXEOLF\ FRQYHQ  
SXEOLF DQG KDYLQJ EHHQ SUHFHGHG E\ DGYDQFH SXEOLF  
DWDFKHG WR WKH PLQXWHV RI WKLV PHHWLQJ DV \$

,Q FRPSOLDQFH ZLWK WKH SURYLVLQRV RI 1HEUDVND 5HY 6  
PHHWLQJ ZDV VHQW WR HDFK PHPEHU RI WKH %RDUG DW  
9DUQHU +DOO ,Q DGGLWLRQ FRSLHV RI VXFK QRWLFH  
2PDKD :RUOG +HUDOG 7KH 'DLO\ 1HEUDVNDQ WKH \*DW  
+XE DQG WKH /LQFROQ RIILFH RI WKH \$VVRFLDWHG 3U

5HJHQWV SUHVHQW  
7LPRWK\ &ODUH &KDLU  
3DXO .HQQH\  
(OLJDEHWK 2↑&RQQRU  
5RE 6FKDIHU 9LFH &KDLU  
-LP 6FKHHU  
-DFN 6WDUN  
%DUEDUD :HLWJ MRLQHGH DW D P  
.DWK\ :LOPRW  
7HPR 0ROLQD 8QLYHUVLW\ RI 1HEUDVND DW .HDUQH\  
3DXO 3HFKRXV 8QLYHUVLW\ RI 1HEUDVND /LQFROQ  
.DWLH 6FKXOWL 8QLYHUVLW\ RI 1HEUDVND 0HGLFDO  
+DNLP /RWRUR 8QLYHUVLW\ RI 1HEUDVND DW 2PDKD M

-HIIUH\ 30  
&KDQF€OOR€ O 8QLYHUVLW\ RI 1HEUDVND 0HG bg € O  
3KLOLS - %DNNHQ D` \BUDWL 0`€`UHW  
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9ROXPH

,W LV WKH LQWHQW RI WKH %RDUG RI 5HJHQWV WKDW  
WR WKH IXOO %RDUG IRU DFWLRQ DV RSSRVHG WR RX  
%XVLQHVV DQG )LQDQFH &RPPLWWHH 7KLV HOHYDWH  
DGGLWLRQDO DQG DSSURSULDWH RYHUVLJKW RI WKH  
WKDW SURMHFWV GR QRW PRYH IRUZDUG WR ,QWHUPH  
WKH JURXQG' XQWLO DOO IXQGLQJ DQG FRPPLWPHQW

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H /RWRUR 0ROLQD  
6WDUN :HLW] :LOPRW &ODUH .HQQH\ 2¶&RQRU DQ

0RWLRQ 0RYHG E\ .HQQH\ DQG VHFRQG HG E\ :LOPRW WR DS

;

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9ROXPH

; , % :DLYHU RI 5HJHQWV 3ROLF\ UHODWLQJ WR SURM  
810&

&KDLU &ODUH DVNHG WKDW WKH PLQXWHV UHIOHFW WKH

\$V VWDWHG GXULQJ WKH OHPRULDO 6WDGLXP GLVFXV  
ZLOO RQO\ PRYH WR ,QWHUPHGLDWH 'HVLJQ 5HYLHZ Z  
VHFXUH

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 0ROLQD 3HFKRX  
.HQQH\ 2↑&RQQRU 6FKDIHU 6FKHHU 6WDUN :HLW] :

ORWLRQ 0RYHG E\ .HQQH\ DQG VHFRQG HG E\ 6FKHHU WR DS

; , % \$SSURYDO RI 3URJUDP 6WDWHPHQW IRU WKH 6DGGOH  
&25( %XLOGLQJ DW 810&

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 3HFKRXXV 6FKXO  
2↑&RQQRU 6FKDIHU 6FKHHU 6WDUN :HLW] :LOPRW &

ORWLRQ 0RYHG E\ .HQQH\ DQG VHFRQG HG E\ 6FKHHU WR DS

; , % \$SSURYDO WR DFTXLUH UHDO ~~6SURSHUWDO~~ ~~GRFDWHZHDV~~  
\$YHQXH LQ 2PDKD 1HEUDVND

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 6FKXOWLV /RWR  
6FKDIHU 6FKHHU 6WDUN :HLW] :LOPRW &ODUH .HQO

ORWLRQ 8QLYHUVLW\ RI 1HEUDVND DW 2PDKD  
0RYHG E\ /RWRUR DQG VHFRQG HG E\ 0ROLQD WR D

; , % \$SSURYDO RI DPHQGPHQWV WR WKH 3URSHUW\ 0DQDJ  
0DQDJHPHQW //& IRU WKH VWXGHQW UHVLGHQFH FRPSC  
&RXUW DW 812

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H /RWRUR 0ROLQD  
6WDUN :HLW] :LOPRW &ODUH .HQQH\ 2↑&RQQRU DQ

& 35(6,'(17,\$/ 6(\$5&+

; , & 5HVROXWLRQ DIILUPLQJ &RUH /HDGHUVKLS 3LOODUV

ORWLRQ 0RYHG E\ 6WDUN DQG VHFRQG HG E\ 6FKHHU WR DF  
+LJKHU (GXFDWLRQ \$FDGHPLF DQG 5HVHDFK ([FHOO  
SULRULWL]HV H[FHOHQFH LQ KLJKHU HGXFDFWLRQ DF  
DFDGHPLF KHDOWK VFLHQFH FHQWHU DQG LWV LPSR  
DQG WKH VWDWH

7KHUH ZDV GLVFXVVLQJ 6HFRQG ZLWKGUDZQ E\ 6FKHH

2FWREHU  
9ROXPH

0RWLRQ 0RYHG E\ 6WDUN DQG VHFRQGHG E\ 0ROLQD WR DP  
,QWHUFROOHJLDWH \$WKOHWLFV DQG \$FDGHPLF +HDOW  
DSSUHFLDWHV LQWHUFROOHJLDWH DWKOHWLFV HVSHF  
VFLHQFH FHQWV LQ WKH PDQVPSRUZDQW GRRUV WR WKH 8Q

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 3HFKRXV 6FKXO  
:LOPRW &ODUH .HQQH\ 2†&RQQRU 6FKDIHU 6FKHHU

0RWLRQ 0RYHG E\ /RWRUR DQG VHFRQGHG E\ :HLW] WR DP  
WR 32QH 1HEUDVND' ± XQGHUVWDQGV DQG DSSUHFLDW  
8QLYHUVLV\ FRPPXQLW\ LQFOXGLQJ VWXGHQWV \$ELO  
FROODERUDWLRQ DQG FRRSHUDWLRQ DPRQJ WKH IRXU  
JUHDWHU WKDQ WKH VXP RI LWV SDUWV 0D[LPL]HV WK  
ZLWK 1HEUDVNDQV²UXUDO DQG XUEDQ '

\$FWLRQ 6WXGHQW 2SLQLRQ 9RWLQJ \$\H 6FKXOWL V /RWR  
:LOPRW &ODUH 2†&RQQRU 6FKHHU 6WDUN DQG :HLW  
0RWLRQ FDUULHG

0RWLRQ 0RYHG E\ :LOPRW DQG VHFRQGHG E\ 3HFKRXV WR  
/HDGHUVKLS 3LOODUV DV DPHQGHG

:(5(\$6 WKH VHOHFWLRQ RI RXU QH[W SUHVLGHQW LV D  
8QLYHUVLV\ RI 1HEUDVND DQG

:(5(\$6 WKH %RDUG RI 5HJHQWV ZLVKHV WR DUWLFXOD  
/HDGHUVKLS 3LOODUV' EDVHG RQ H[WHQVLYH RXWUHDF  
ZKLFK VKRXOG VHUYH DV WKH IRXQGDWLRQ RQ ZKLFK V

12: 7+(5())25( %( ,7 5(62/9WKDW WKH 3UHVLGHQWLDO 6H  
&RPPLWWHH FRQVLGHU WKH IROORZLQJ &RUH /HDGHUV  
3URLOH

3URYHQ /HDGHUVQVWUDWHG DELOLW\ WR OHDG DQG PDQ  
ZRUN HIIHFWLYHO\ ZLWK WKH %RDUG RI 5HJHQWV EXL  
FRPPLWPHQW WR LQWHJULW\ DQG HWKLFV

6WUDWHJLF DEKLQNHUWR DUWLFXODWH D YLVLRQ IRU W  
1HEUDVND WKDW FDQ EH GHYHORSHG LQWR D VWUDWH

3ULRULWL]HV +LJKHU (GXFDWLRQ \$FDGHPLF DQG 6H  
DSSUHFLDWHV DQG SULRULWL]HV H[FHOHQFH LQ KLJ  
LPSRUWDQFH WR IDFXOW\ VWXGHQWV DQG WKHLU IDP

&RPPLWWHG WR 32QH 1HEUDVNDQV DQG DSSUHFLDWHV V  
YRLFHV LQ WKH 8QLYHUVLV\ FRPPXQLW\ LQFOXGLQJ V  
PRUH FROODERUDWLRQ DQG FRRSHUDWLRQ DPRQJ WKH

2FWREHU  
9ROXPH

3ROLWLFDO ~~SEXPH~~ WR GHYHORS DQG PDLQWDLQ HIIH  
WKH \*RYHUQRU PHPEHUV RI WKH /HJLVODWXUH DQG R  
&DSDEOH RI )XQGUDLVLQJ

2FWREHU  
9ROXPH

;

2FWREHU  
9ROXPH

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1RWLFHJHVEIKJLYHQ WKDW WKH %RDUG RI 5HJHQWV LRQ WK  
D SXEOLFQ\ FRQYHQHG VHVVLRODWRQ 7KUPGLQ VFKWREJWRC  
&HQWHU DW WKH 8QLYHUVLW\ RI 1HEUDVNDVHOZDQD &HGW

\$Q DJHQGD RI VXEMHFW WR EH FRQVLGHUNG DW VDLG  
DYDLODEOH IRU 120LSHFMLRQHLQRWISRU DWLRQ 6HFUHWDU\ F  
+DOO +ROGUHJH 6WUHHW /LQFROQ 1HEUDVND DQG  
PLQXWHV

\$FRS\ RI WKLV QRWLFH/ZDQDCEH - RHWZDQDCEHURDWKH  
WKLO\ 1HEUDVNDVHOZDQD &HGW /LQFROQ RIILFI  
\$VVRELDWIRG3EHUWRI WKH %RDUG RI 5HJHQWV DQG WKH  
RI 1HEUDVND 6\VVHP

'DW6BSWHPEHU