



! " # \$ #

% & ' () ' ' & (*) + , + \$ ') + * (



! " # \$ #

% & ' () ' ' & (*) + , + \$ ') + * (

1 - . C 2'!'(' 3!(

C

. 0 . 0

7 8 & 0 " % D% / / 5 ' E % / %
7/ 8 & & 5 \$ & 1 - E % / S

% 5 @ " % & " % E 5% & E % "% % " &
- . \$ & 7/ 8 " % 5 " C ' & < 5 & % 5 " %

\$ % " @ % @ @ E @ & @ "& % @ :
5 & & E % & 5 & E % & @ % 5 @ \$

' \$ & " % E % @ % " @ @ E E % & @ :
7 & @ E " @ / 5 ' / ((\$!
& 0 " < % C F " " D% @ % D%

/ 5 F 8 \$
, \$ % 5 " E @ & % & E% " " & E % % @

7P8 • • D ð P ` • ` @ P @ P @ & @ D @ ð P @ à € p €

#

5

(<

6 \$

. # # .

0/ . 0

*5\$17 \$77\$&+0(17
)

63(&.),& *5\$17 &21',7,216)25 3\$<0(176

7+(* 3\$<0(176 02'8/(

3D\PHQWV XQGHU WKL V DZDUG ZLOO PEGIXPDHGR I WKH BX6K' M/SKHU W P
(GXFDWLRQ V 'HSDUWPHQW HOHFWURQR R GSDQ FHZLWVK L QV W K F (7G
&HQWUDO \$XWRPDWHG 3URFHVV LQJ 6\W W H H P V I L F B \$ B 6 W K V H D G K P L L Q L
2IILFHU)LQDQFLDO 6\VWHPV 6HU YLFHV

7KH LQWHUQH W DGGS M VVZ ZR U J D J F V WKH * 3D\PHQWV PRGXO
KDYH D * 8VHU ,G DQG 3DVVZRUG <RX D Q Q G O 3 D H V G R W R G U H R R H W M H
'HSDUWPHQW RI (GLXW DVW Q R K D Q G D O X E P F H V V 6 K I E X U R W R) L R U P O H F W U P
DYDLODEOH GXULQJ R No Registered Sign Up D M Q R R X X Q G F H U V 7 K W K H Z H E
'HSDUWPHQW ZLOO LVVXH * 8VHU , 'X D D Q G D X D W K R U L J B G W R W K K R S I
* WR UHTXHVW IXQGV D G G U H S R D Q G H \$ S W Q G R W Q V F D Q Q R W E H I D
SKRQH DQG PD\ QRW EH VKDUHG E H U P \$ D F W L V O 5 H X X H U W 7 R K U P (P X N
FRPSOHWHG DQG PDLOHG WR WKH IROORZLQJ DGGUHV

8 6 'HSDUWPHQW RI (GXFDWLRQ
2IILFH RI WKH &KLHI ,QIRUPDWLRQ 2IILFHU
ODLO 6WRS ±
\$WWQ *)XQFWLRQDO \$SSOLFDWLRQ 7HDP
ODU\ODQG \$YHQXH 6:
:DVKLQJWRQ '&

1HZ JUDQWHHV ZLOO EH UHTXHVW H G I R B H S W R Y L G P H S E H J L Q Q H G W X
IXQGV ,QIRUPDWLRQ WR EH SURYLGHG LQFOXGHV

x 'HVLJQDWLRQ RI SD\HH
x 3D\HH FRQWDFWV DQG PDLOLQJ DGGUHVHV
x 'HSRVLWRU DFFRXQW LQIRUPDWLRQ DQG
x ,QGLYLGXDOV DXWKRULJHG E\ WKH S D W K H W M R DFFHVV * WR UH
LQGLYLGXDOV ZLOO EH SURYLGHG 8VHU , 'V DQG SDVVZRUGV

7KH SD\HH LV WKH HQWLW\ LGHQWLQ D Q G L E O W D X H S H F D Q W R I H W M R K U
H J UHTXHVW SD\PHQWV UHSRUDW H S H Q D L G M H J Q D W H F D Q H Q
SD\HH DOWHUQDWLYHO\ D JUDQWHH DQG SD\HH PD\ EH WKH VD

3D\HHV PD\ EHJLQ UHTXHVWLQJ IX D Q G D R X W W R K U L J D W Q R Q H V R Q M
DQG WKH DZDUG VWDUW GDWH LV UHDFKHG

\$ FRS\ RI WKH 'HSDUWPHQW RI (G U F D W D R O L O D F O H Q R Q J W K L G H Z
3+HOS'OLQM\LG RU LG WH @UH•€

*5\$17 \$77\$&+0(17
2)

5(48(67,1*)81'6 86,1* 7+(* 02'8/(

3D\HHV FDQ DFFHV V WKH * 3D\PHQW 0 R B XDFH HRQV QWKQH * W 3DUPHT
SD\HHV QHHG D :HE EURZVHU VXFKDDG 0, QFVHRV Q HWW , QFVHRV Q HWW Y
UHTXHVW IXQG VWEKHD ZD \$ ZDUGLQXQF 5LQRN WKBQW \$ZDUG 1RWLILF
,QVWUXFWLRQV IRU QDYLJDWLQJ WBNR XJK DWRHQW 3DHPHQW W D E
7UDLQLQJ *XLGH DQG LQ WKH * 2Q 'H³ P D Q S W O L D L Q L Q Q V R U F D F W H L G R
SD\PHQW UHTXHVWV DGMXVWLQJ GUDZV GRZQW KRRXLDWL RQQ G LYVW
LQFOXGHG LQ WKH *XLGH

7KRVH SD\HHV ZKR GR QRW KDYH W K W V R H F K Q R G R R J D \ W R H D X F M W V I
FDOOLQJ (' V * +RWOLQH E\ DW

\$. \$5' ,1)250\$7,21

3D\HHV FDQ JHW LQIRUPDWLRQ RQ' WK*LV FVZDUGHRQWDLQBWRU E\

3/4 2Q /LQH

3D\HHV PD\ DFFHV V * YLD WKH , QWRH W Q M W L D W H K W Q S V Y L H Z Z L Q I
WKHLU DZDUGV VXFK DV
x 1HW DXWKRULJDWLRQ DQG DXWKRULJDWLRQ KLVWRU\
x 1HW GUDZV
x \$YDLODEOH EDODQFH
x +LVWRU\ RI SHQGLQJ DQG FRPSOHWHG SD\PHQWV
x \$ZDUG VWDWXV DQG
x \$ZDUG KLVWRU\ LQFOXGLQJ GHWDLOHG WUDQVDFWLRQV RQ C

*5\$17 \$77\$8+17
3\$*(2)

\$Q 2YHUYLHZ RI 6LQJOH \$XGLW 5HTXLUHPHQWV RI 6WD
/RFDO *RYHUQPHQWV DQG 1RQ 3URILW 2UJDQLJDWLRQ

7R PHHW DXGLW UHTXLUHPHQWV DQG 1RQ 3URILW 2UJDQLJDWLRQ *XLGDQFH
3ULQFLSOHV \$XGLW DQG \$GPLQL\$ZADUDGWL 80 L5 RTUPLUXHGDQFH IRJ
PXVW VXEPLW DOO GRFXPHQWV UHTXLUH GDFRQG LQJ (RUP) RXIPGQ)QF\$8
&ROOHFWLRQ)RUP WR

)HGHUDO \$XGLW &OHDULQJKRXVH
(DVW WK 6WUHHW
-HIIHUVRQYLOOH ,QGLDQD
YRLFH
WROO IUHH
ID[

%HORZ LV D VXPPDU\ RI WKH VLQJOH DXGLW UHTXLUHPHQWV

6LQJOH \$XGLW \$ QRQ)HGHUDO HQWLW\ V ILVFDO VLDU QHQ DNGHWDFRQZBWHG
QRQ)HGHUDO HQWLW\ V ILVFDO VLDU QHQ DNGHWDFRQZBWHG
DFFRUGDQFH ZLWK &R \$XGLW³6FRSIBFWZKWR K WYHI D
SURJUDP VSHFLILF DXGLW FRQGXFWHG

3URJUDP VSHFLILF DXGLW HOHFWLRQ DZDUGV DXGLWHH
XQGHU RQO\ RQH)HGHUDO SURJUDPS UHTXLUHPHQWV DW DW B WK
UHJXODWLRQV RU WKH WHUPV DQG RRRV QHTXLRQV DIWQDQFH
VWDWHPHQW DXGLW RI WKH DXGLW UHTXLUHPHQWV DZDUGV
FRQGXFWHG \$ SURJUDP±VSHFLILF DXGLW FDDOQRWWEKH HOHF
)HGHUDO DZDUGV H[SHQG HG ZHUH UHTXLUHPHQWV KH RPD WKH VDPH
)HGHUDO DJHQF\ DQG WKH VDPH SDVW HGFUR RJK SHQWLW\ DQG
WKURXJK HQWLW\ LQ WKH FDVH FHHDDVXUHQJHFDL S LV SHFLILF SURY
DXGLW

([HPSWLRQ ZKHQ)HGHUDO DZDUGV \$[SHQHG DUH OHVV
)HGHUDO HQWLW\ WKDW H[SHQGV GHVNDW KDDWLW\ V GLXUFDDU V
\HDU LQ)HGHUDO DZDUGV LV H[PSWRUW RPK DMGHUDDU BFGHLSW U
DV QRWHG LQ &)5 EXW UHTXLUHPHQWV XDWGLVH IDYDLODEO
DSSURSULDWH RIILFLDOV RI WKH)DQGU*RYHUHQFHQVSDVV WKU
\$FFRXQWDELOL

*5\$17 \$77\$8+17
3\$*(2)

,I WKH 8 6 'HSDUVRQHQQWWRKH(GREDDWJLEWUDJHWHIRUJDQLJDWLRQ
VKRZV DFFRUGLQJ WR WKH ORFDWDRQRRQ WIKWKH DQIWHH RIQ,QLV

*5\$17 \$77\$8417

7UDIILFNLQJ LQ 3HUVRQV

7KH 'HSDUWPHQW RI (GXFDWLRQ DGRS) ~~WVGWKBQJBFXXOBPHLQV~~ D

* U D Q W \$ W W B F J K P I H Q W

5 H S R U W L Q J 3 U L P H \$ Z B B S I H Q (V B F X L R Q Y ' H D X D \$ W U 5 H T
W K H) H G H U D O) X Q E L O Q J W \$ F D F R G Q W D Q V S D U H Q F \

7 K H) H G H U D O) X Q G L Q J \$ F F R X Q W D E L O L W G B Q G J Q U H Q W B D L Q F Q
W U D Q V S D U H Q F \ D Q G L P S U R Y H W K H H S Q V E Q I Q F R W P D F W H R Q W R R) F
) \$ 7 \$ U H T X L U H V W R B S H I Q (H F X W L R Q H G D D V D O E Q H Q H S R G U W M B G I K R Q G F
D W R U P R U H W R K D W Q P J H F R Q W K W K R I S / V D K L V H W D R W D Z D U
W K D W D U H D Z D U F G W H G E R Q R U D I W H R U Q) J S \$ U S H S / H U W W K H S U L F
L H W K H J U D W Q W H H O L L W W M K G H L Q Q E R U G R R W K I H L F D W Q R V Q \$

D 5 H S R U W L Q J 7 R W D O I W R F S B Q V P H W \$ Z D U G H H T V ([H F X W L Y H

Applicability and what to report. 7 K H S U L P H D Z D U G W R H W D X V F R B S S Q V W W L R C
R I L W V I L Y H P R V W H I G L H K I O F X F R I P S H H Q V P D W P S I O H S H I S F I L G E Q O \ H D
W K H I R O O R Z L Q J F R Q G L W L R Q V D U H D S S O L F D E O H ²

L W K H W R W D O) H G R U D J O G X O B L G D W H X W Q G H U R W D F R A D I Z D U G L
L L Q W K H S U H F H G L Q J I L V F D O \ H D U W K H S U L P H D Z D U G H H U H

* U D Q W \$ W W B D F J K P I H Q W

L\$ * R Y H U Q P H Q W D O R U I D Q L J D W L R Q Q R E D , Q G B D G U W Q P H E Q W

L\$ I R U H L J Q S X E O L F H Q W L W \

L\$ L G R P H V W L F R U I R U H L J Q Q R Q S U R I L W R U J D Q L J D W L R Q

L\$ G R P H V W L F B U S U R U H W J R U I R D Q L J D W L R Q

Y\$) H G H U D O D J H Q F X E E X W L S Q Q Q W V X Q B E D Z D Q G Z R U G B B Q) H C
H Q W L W \

Executive P H D Q V R I I L F H U V P D R Q D D I Q Q J R S V K U H W Q B D S I O R H Q M W L Q P D
S R V L W L R Q V

Total compensation P H D Q V W K H F D V K D Q G Q R Q F D V K G R G X O D L Q Y D O X
W K H S U L P H D Z D U G H H \ V R U V X E U H E Q B O X G W V V S K H F R G D R Z I L Q
L Q I R U P D W L R Q V H H &) 5 F

L 6 D O D U \ D Q G E R Q X V

L\$ Z D U G V R I V W R F N Q G W R V R F R S V S I R S W F L D W H R Q R O I O J K W D P R X Q
U H F R J Q L J H G I R U Q W D Q H S L D O W V Q D S H F V S R / R H W K Z L W K V E D O S H D U
D F F R U G D Q F H Z L W K W K H 6 W D W H P H Q W R R I) L Q D Q F L D O \$ F F R X Q
5 H Y L V H G) \$ K D U 5 G % D V H G 3 D \ P H Q W V

L L (I D U Q L Q J V I R U V H U T Y L F M V L X Q F G H Q W Q N R M S H D W Q L V Q F 7 K X G H G J R U R X S
K H D O W K K R V S L W D O H L J P E X B Q H R U Q W R G S L F D O W F W L K F D L V Q D V R H L Q I D

*5\$17 \$77\$&+0(17

63(&,) , & & 21', 7, 216) 25 ', 6 & / 26, 1*
) (' (5\$ /) 81', 1* , 1 38% / , & \$ 11281 & (0 (176

:KHQ LVVXLQJ VW DMIDPHQWV H SXIHVWLVGHR B OSLFR SFDWDLORQV DQ
GRFXPHQWV GHVF SLURLQJDSURMGEWS DBQWZKRWKI)HGHQDO PR
8 6 'HSDUWPHQW BQW BNF DWKLRQO JFOH DUO \ VWDWH

WKH SHUFHQWDJH RRI WKKH SURWDDPFRWBOU E M H E W DZKFLH
ZLWK)HGHUDO PRQH \

WKH GROODU DPRXORU RWKH SHURDQGEWQB SURJUDP

WKH SHUFHQWDJH DQGHGWBWDDO BRWXRQVSRURKHDSUWIM
ZLOO EH ILQDQFHG E \ QRQ JRYHUQPHQWDO VRXUFHV

5HFLSLHQWV PXKWVHRFBQGWWRQW7XWGHU 'LYLVLRQ +
6HFWLRQ RI 3XEOIRFQDRZOLGDWHG \$S SRSULDWLRQV \$F

*5\$17 \$77\$&+0(17

352+,% ,7,21 2) 7(;7 0(66\$*,1* \$1' (0\$,/,1* :+,/(
'5,9,1* '85,1* 2)),&,\$/)('5\$/ *5\$17 %86,1(66

)HGHUDO JUDQWHBHSFILSQMOWDVQGVXKCHIDWJUSDQRMK LSELUWFRGQ
PHVVDJLQJ ZKLOHQBHQLQZOHGRYHNYEQH WRKHZKLFZHQGU
SULYDWHO\ RZQHGLYHLDQDFDQDQXUEXVLPQJHVR YRUQIRRW
VXSSOLHG HOHFVRURQLV PFXVSBHQRMQW PDLO ZKHQ GUL

5HFLSLHQWV PXKWVFRPBQGGZWWKQWGXHQGHU ([HFXWLYH 2
3)HGHUDO /HDGHUVKLS RQ 5HGXFELQJ'7H[W 0HVVDJ
2FWREHU

* 5 \$ 17 \$ 77 \$ & + 0 (17

5 H J L V W U D W L R Q R I ' D E W H D J L B Q J L Y H U W H D D 1 X R G 7 D P S E D H U H W
, G H Q W L I L F D W L R Q 1 K X P 6 H U W H P, 1 I R L L Q \$ W D U G \$ 0 0 D Q D J H P H Q W

7 K H 8 6 ' H S D U W P H Q Q W X R D W G L R F D W U D Q 6 W W W H D F Q D * J H Z H Q W E H J L C
G L V E X U V L Q J S D \ P H Q W D U Y W L D H V Q W H R 8 U H U D D W X K H U 7 W K D Q X G L U H F
W K H) H G H U D O 5 H V W U Y 7 K E I V 8 L Q W K H M D S D W \ Z U H H I Q E O N G H \ R X U 7
, G H Q W L I L F D W L R Q K 1 H D E K U S D 7, P I H Q Z W R U G K H U W R R G R E L Q V L Q H V V
(G X F D W L R Q \ R X P W W H W H G D Y 8 H I G D U Q H L 7, K H Q X \$ E H W K Z L W K 6 W) H G H U
* R Y H U Q P H Q W \ V S U G L D W D E D V H L V W U D R Q E W S D L V H G B 1 I G W X Q W W K D
J U D Q W H H ' 8 1 6 Q X P E H R X V E R W K Q H B E V W M) U D H L G X L Q H W W K R I G \$ 0 V R Z
W K H U H F H L S W R I S D \ P H Q W V I U R P (G X F D W L R Q

\$ 7, 1 L V D Q L G H Q W I X I V H G W E L R V Q K G X, Q E M H U Y Q D H O 5 5 6 H I Q X W K H
D G P L Q L V W U D W L R Q V R X W G [H O D Z K V H U F W U L W K H \$ G R F L L Q D L O V W U D W L R Q
W K H, 5 6 \$ 6 R F L D Q U 6 6 5 X U L W L Q X R E H U E H D W K E I O 6 6 \$ R Z K H U 7, 1 V
E \ W K H, 5 6

7 K H I R O O R Z L Q J D U H D O O F R Q V L G H U H G 7, 1 V D F F R U G L Q J W R

81,7(' 67\$7(6 '(3\$570(17 2) ('8&\$7,21

Office of the Chief Financial Officer

0(025\$1'80 WR (' *5\$17((6 5(*\$5',71+(86(2) *5\$17)81'6 225(8(1&(6 \$1'
0((7,1*6

You are receiving this memorandum to remind you that grantees must take into account the following factors when considering the use of grant funds for conferences and meetings:

- x Before deciding to use grant funds to attend or host a meeting or conference, a grantee should:
 - o Ensure that attending or hosting a conference or meeting is consistent with its approved

81,7(' 67\$7(6 '(3\$570(17 2) ('8&\$7,21

0(025\$1'80

(1&/2685(

'\$7(-XO\

72

5HFLSLHQWV RI JUDQWV DQG FRRSHUDWLYH DJUHH

)520

7LP 6ROWLV

'HOHJDWHG WKH \$XPWKRL'WWLWV SHU&KQHFILRQV R

)LQDQFLDO 2IILFHU

68%-(&7

'HSDUWXPFDWIRRQ &DVK 0DQDJHPHQW 3ROLFLHV I

6WDWH PDNHV D SD\PHQW XQG HU D I)XGGHU DFOU DW K D WWSDDPHI
EHHQ WUDQV6 WUDWHG)HEGMPKDDW* R Q G/LH/VLH/W DCFIDW H URIPWKKI
6WDWH SD\PHQW IXQG L OIRUK W K B GHSJDA S RQL W B GHWERH W KH 6W
&)5

&0,\$ 5HTXLUHPSHQWFD \$OH WRV URJFDXG HG LQ D 76\$

3D\PHQWV WR 6WDW DRXCFRHW LSHUR BUDEP 6 XEDWHFW WRS V XES D
7UHDVXU\TV UHJXODWLRQV LQ &)E YLGGUWW KD W7 B H6WHD WHJ X
WKH WLP H EHWZHH Q QGK/HI GRP ZGRZ GHWIDDCG JRKHILUQ B LVE X
DSSURYHG SURJUD W LDFLWLYD Q G HDVIR Q Q MM URV PXXQGW EMMUNHSW
PLQLXP DQG EH DVL F DRVDHV D YHLOD DHDPMCEG M XDRD DF B WK RXW
SURJUDP FRWRV RDLQGLW BDEQSD O K B W BHEROMH FIRQGLV &)5
6WDWHV VKRXOG H[DODF L VPHQRX Q G FDRGXFEN UDQWIIHW

8QGHU VXESDUW %/ QRILWKKHU 'M/KDU BWPVQWR RAZKHLRWWKHU IRU
SD\PHQWV &)5 IED 6WRZHY IRW QDF)HLG HFRDQV DVWHQWO\
PDNLQJ SD\PHQWVT XLUHD WKKH SD B JCHLPQ WRK IE H6 WQ FOMXG H76\$

)XQG WUDQVIHU UHTXLUHMIPH Q WR W KRIW PM QDQ/ DVQGWHX ER M B QW

7KH WUDQVIHU RI I)XGGHU DR SUBQUADPHW BQ G HW RWKXDEQ 6 DQ W H
VXEMHFW WR QKHL G W HUHDTW LDIFPHXQDVRIUQ WKLGDQFH DW &
E 7KHVH UHTXLPLHQBHW WR D LKRIV W KLQ 7UXEDSDXUW 'HSDU
UHJXODWLRQV LQ U8)G JSW K DW 3 S DHPFXQWVPR QWRKIRJG WKH W
HODSVLQJ EHWZRH QX Q G M WURDQW KHH BQXW H G U6 WDKW HSD V V WK
DQG WKH GLVE XQRQ P H Q WUB\OWKQW LEW L Q W B R5G XFWLRQ

7KH)HGHUDO *RYH WQPHRQ WK DQGW S DVLHQWRPX V QV DQYDQSF B\ R I
H[SHQGLWXUHV E\ JUDQWHHV DQGQWALEM LHDQ WCHFRQD/LVQK ERWHH
WKH ZLOOLQJQH V W WHRQPSU R FHDGLXWHZH 3 WIKDFWEHPVDSHPHQHW KH
WUDQVIHU RI IXQGW DEQGW B HVORQ QHSHLQDDQ FLOWDLMD QD JHPHC
WKDW PHHW WKKQ G VFRQGDURGVDIR G VDFFRQ QWKDEGL Q QW\ B Y5
,I D JUDQWHH RU PXEJW DQKWHFUE DSDUR BQW V DQYDQFHIDO DJ
SDVV WKURXJK H QV W W F DCK S B X JKK B ML P E)5U VHPHQW DQG
IRU PRUH GHWDL KHGSDHPHF QWS WHL RQL BHPHQGDV B Q B R Q W K D V
SD\PHQWV EH PDGH E\ UHLPEXUVHPHQW

1RQ)HGHUDO HQWLWLHV RWKHU WR B Q V W D Q H L Q W P M W V P D E G
DFFRXQWV XQOHW VRFHJ W D L V Q V FRQGH IRU 5WKR V H EFRQGLWLRQ
UHTXLUHPHQWV VUH DDFUGXQD LQAGH UHPLWWDQFH IROORZ

x *UDQWHHV RWKHU WKKEDQ DQWDMHW FDQWH D Q Q W D D Q Q U H G P B
DGYDQFH SD IHP. `H U`0 €`@ ð0€ p Å€`p@`HVWPIRUE &)5

6\ VWHP 306 WKURFDW HIG V& IOHD \$ XWJKRXVH \$ & + QHWZ

(QFORVXUH

5HFLSLHQWV RI (' *UDQWV DQG &RRSHUHWLYH \$JPH
€)UHTXHQWO\ \$VNHG 4XHVWLBQV RQ
&DVK 0DQDJHPPHQW

4 :KDW DUH WKH)HGHUDO /DZV DQG 5HJXODWLRQV "DUGLQJ
\$ 7 Cash Management Improvement Act of 1990A HVWDEOLVKHV LQWHUHVW OLDF
)HGHUDO DQG 6WDWH JRYHUQPHQWV SURJUDPPHUV WRGRWKUHQW O6 WDWY
8 6 & DQG 7KH LPSOHPHQWLQJ WKH & R R R V) H G H U D O
5HJXODWLRQV &VWS3DZVZ IPV WUHDV JRY IHGUHJ FIU ILQ

4 :KDW LV D 7UHDVXU\ 6WDWH \$JUHHPPHQW 76\$ "
\$ 76\$ GRFXPHQWV WKEJDWFFH\$QHTX HWODQGX EBWKQGVLQRWUHFHVW
E\ WKH 8 6 'HSDUWPHQW 7UHDVXU\ WELQGH QW6WDWHWKH)HGHU
SURJUDPV WKDW DUH VXEWHFW WR7KH8-DU\$M WJ 00DELLORLQWLMSH
QXPEHU RI GLIIHUHQW IXQGLQJ WELQGH QW6WDWHWKH)HGHU
WKH 7UHDVXU\ 'HSDUWPHQW WR HTXWDIROLDS DUQWUFXWHDQWS UR
LVHIIHFWLYH XQWLO WHUPLQDWH\$DQGHQWLDWRVDMKH 6R6W6R
WKH GHIDXOW WHFKQLTXHV LQ WELQGH QW6WDWHWKH)HGHU

4 :KDW DUH WKH &0,\$ UHTXLUHPPHQWV IRU BSRJUDPPHUV "DUGLQJ"
\$ 3D\PHQWV WR D6WDWH XQGHU D SURJUDPPHUV WELQGH QW6WDWHWKH)HGHU
UHTXLUHPPHQWV RI WKH &0,\$ LI WKH VSRJUDPPHUV LV6WDWHWKH)HGHU
ZLWK WKH 'HSDUWPHQWRI)HGHUWELQGH QW6WDWHWKH)HGHU
LW RZHV LQWHUHVW WRWKH 6WDWHVUVR SRVIRULPH SMQHG 6WWD
WLPH WKH)HGHUDO JRYHUQPHQWQGH SRVIRULPH SMQHG 6WWD
&RQYHUVHO\ LI D 6WDWH LV ODWHDQR PDNLQJ JHS DSDWPHQWV XQ
RZHV LQWHUHVW WRWKH)HGHUOQR RMHQQRPHQWV LGRHS RAKLHW
WR WKH 6WDWHV DFFRXQW XQWIDOSWKPHQWVWRHU XPRUH VLQR R H I
WKH UHFHQWO\ LVVXHG 0HPRUDQGXRQ L&DPV K K B Q&KJLPHH)Q W DZK
SRVWHG RQ WKH HG JRY 3(' 0HPRUDQGWR *UDQWHHV' SDJH
DWWW S ZZZ HG JRY SROLF\ IXQG JXLG JSRVEXO JSRVEXO KV

4 :KDW DUH WKH &0,\$ UHTXLUHPPHQWV IRU VRSRJUDPPHUV "DUGLQJ"
\$,I D SURJUDP LV QRW LQFOXGHG WELQGH QW6WDWHWKH)HGHU
OLDEOH IRU LQWELQGH QW6WDWHWKH)HGHU
PXVW PLQLPLJH WKH WLPH HODSVLQJWELQGH QW6WDWHWKH)HGHU
IXQGV DUH GHSRVLWHG WR WKH 6WDWHVUVR SRVIRULPH SMQHG 6WWD
EHWZHHQ WKH GDWH LW UHFHLYH DQGHQWLDWRVDMKH 6R6W6R
XQGHU WKH SURJUDPPHUV P&KWK PLSRIP LX QGWK W UDRXQW UHG
WR RQO\ WKDW QHHGHG WR PHHWWMKH7KHPWGEDQJH DQGHQWLDWRVDMKH
WUDQVIHUUHG PXVW EH DV FORVH DV LV DGPLQLVWUDWLYHO\

4 :KR LV UHVSQRVLEOH IRU &DVK 0DQDJHPPHQW "
\$ *UDQWHHV DQG VXEJUDQWHHV WKDWWRUMFKHL YHS DWDVQPM QXQGVH
IRU PDLQWDLQLQJ LQWHUQDO FRQWUJHJUDV UHURJUDLQ IXQGN RQ
8QLIRUP *XLGDQFH LQ &)5 DQWHHV DUHQUBIGSRVLRQOH
WKDW VXEJUDQWHHV DUH DZDUH RI WVKHLCF DVK) 5P DQDWHPHQWKED

4 :KR LV UHVSQRVLEOH IRU PRQLWRULSDDEDQKHGZIDVZGRFZQV WR
PDQDJHPPHQW SROLFLHV "
\$ 5HFLSLHQWV PXVW PRQLWRUDQWKRUVHRRZIQVFNKUGVUXDEZGRFZQV LHQ
VXEVDQWLDQ FRPSOLDQFH WR WVKHLCF DVK) 5P DQDWHPHQWKED

4 +RZ VRRQ PD\ , GUDZ GRZQ IXQGV IURPAWVHP* JUDQWV PDQD.
\$ *UDQWHHV DUH UHTXLUHG WR PLQHJGJUDVQRZDPRQGWRH WLSH
IXQGV IURP WKHLU (EDQ N D)5FRXQW XVW)XQGV DZQ RQO\ WR P
JUDQWHHV LPPHGLDWH FDVK QHHGWFIRUHEDGFKV SOGLYLVKXHOR
PHVVDJH

By submitting this payment request, I certify to the best of my knowledge and belief that the request is based on true, complete, and accurate information. I further certify that the

4
\$

:KR LV UHVSQRVLEOH IRU GHWHUPLQW (JWKKH) ~~DERNDW~~ JR YLQW